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JUNE 04, 2002

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Commissioner for Trademarks  
Arlington, VA 22202-3513  
www.uspto.govSCHWEGMAN, LUNDBERG, WOESSNER, ET AL.  
ANN S. VIKSNINS  
P.O. BOX 2938  
MINNEAPOLIS, MN 55402

\*102050250A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/27/2002

REEL/FRAME: 012758/0531  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

UNIVERSITY OF IOWA RESEARCH  
FOUNDATION

DOC DATE: 03/05/2002

## ASSIGNEE:

REGENTS OF THE UNIVERSITY OF  
CALIFORNIA  
1111 FRANKLIN STREET  
12TH FLOOR  
OAKLAND, CALIFORNIA 94607-5200SERIAL NUMBER: 09991374  
PATENT NUMBER:FILING DATE: 11/16/2001  
ISSUE DATE:SHARON LATIMER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDSSchwegman, Lundberg,  
Woessner & Kluth, P.A.

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JUN 13 2002

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Schwegman, Lundberg  
Woessner & Kluth, P.A.

FORM PTO-1594  
01-10-96:CMG

04-10-2002

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Atty Ref/Docket No.: 875.009US2



To the Honorable Commissioner of Patents and Trademark

102050250

copy thereof.

1. Name of conveying party(ies):

University of Iowa Research Foundation

2. Name and address of receiving party(ies):

Name: Regents of the University of California

Additional name(s) of conveying party(ies) attached? [ ] Yes [X] No

Street Address: 1111 Franklin Street  
12th Floor

3. Nature of conveyance:

City: Oakland State: CA ZIP: 94607-5200

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other \_\_\_\_\_

Additional name(s) &amp; address(es) attached? [ ] Yes [X] No

Execution Date: March 5, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 09/991,374, filed November 16, 2001

Additional numbers attached? [ ] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: 1

Name: Ann S. Viksnins

7. Total fee (37 CFR 3.41): \$ 40.00

Address: Schwegman, Lundberg, Woessner & Kluth, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402☒ Enclosed☐ Authorized to be charged to deposit account8. Please charge any additional fees or credit any overpayments to our  
Deposit account number: 19-0743

04/09/2002 6TON11 00000152 09991374

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ann S. Viksnins/Reg. No. 37,748  
Name of Person Signing

Signature

Date

8 March 2002  
Total number of pages including cover sheet: 4

OMB No. 0651-0011 (exp. 4/94)

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**ASSIGNMENT**

WHEREAS, University of Iowa Research Foundation, a corporation organized and existing under and by virtue of the laws of the State of Iowa, and having an office and place of business at 214 Technology Innovation Center, Iowa City, IA 52242 (hereinafter "Assignor"), is the owner of all right, title and interest in and to the useful inventions and improvements described in U.S. Patent Application Serial No. 09/991,374, filed November 16, 2001, titled PRODUCTION OF COMPLEX CARBOHYDRATES;

AND WHEREAS, Regents of the University of California, a corporation organized and existing under and by virtue of the laws of the State of California, and having an office and place of business at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200 (hereinafter "Assignee"), is desirous of acquiring that portion of the right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor, as previously conveyed by Bradford W. Gibson and Nancy J. Phillips to the University of Iowa Research Foundation in the Assignment executed by them on January 9, 2002, a copy of which is attached hereto as Appendix A;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, that Assignor has sold, assigned, and transferred, and by these presents does sell, assign and transfer unto said Assignee, its successors or assigns, that portion of the right, title and interest previously conveyed by Bradford W. Gibson and Nancy J. Phillips to University of Iowa Research Foundation in the Assignment executed by them on January 9, 2002, for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents; and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and Assignor does hereby

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Serial No.: 09/991,374  
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request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements jointly to the Assignor and Assignee as their interests may appear.

AND, for the consideration aforesaid, Assignor does hereby agree that it, its successors, assigns, and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the proportionate right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore Assignor covenants and agrees with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by Assignor and that full right to convey the same as herein expressed is possessed by the Assignor.

Docket No.: 875.009US2.  
Serial No.: 09/991,374  
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IN TESTIMONY WHEREOF, I have hereunto set my hand this 5 day of March, 2002.

Assignor:

UNIVERSITY OF IOWA RESEARCH  
FOUNDATION

Date: 3-5-02

Signature

Name: W. Bruce Wheaton

Title: Executive Director

STATE OF Iowa )  
 )ss.  
COUNTY OF Johnson )

On this 5 day of March, 2002 before me personally appeared W. Bruce Wheaton, to me known to be the person who has executed the foregoing instrument, and who duly acknowledged to me that he is authorized to execute the same on behalf of Assignor for the uses and purposes therein set forth.



Lela Schumacher  
Notary Public

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**RECEIVED**  
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JUN 14 2004

Applicant: Michael A. Apicella et al.

Title: PRODUCTION OF COMPLEX CARBOHYDRATES

Docket No.: 875.009US2

Filed: November 16, 2001

Examiner:

Serial No.: 09/991,374

Due Date: N/A

Group Art Unit: 1651

OFFICIAL

Attn: Box Assignment


Hon. Commissioner for Patents and Trademarks

Washington, D.C. 20231

We are transmitting herewith the attached:

- ☒ An Assignment of the invention to Regents of the University of California (3 pgs.) and Recordation Form Cover Sheet (1 pg.).
- ☒ A check in the amount of \$40.00 to cover the Assignment Recording Fee.
- ☒ A return postcard.
- Other: \_\_\_\_\_

Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)By:   
Name: Ann S. Viksnins  
Reg. No. 37,748  
ASV:CMG:cbb

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Assignment, Hon. Commissioner for Patents and Trademarks, Washington, D.C. 20231, on this 8 day of March, 2002.

Candis B. Buending

Name

Signature

